

# Small oscillations and normal modes

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Chp II in  
Kibble + Berek  
5th Ed.

Near a position of equilibrium any system behaves like a SHO.

Let us generalize this to more than 1 degree of freedom. We consider only conservative, ~~non~~ holonomic systems described by a generalized coords  $q_1, \dots, q_n$

Can always choose pt. of equilibrium to be  $q_1 = q_2 = \dots = q_n = 0$

What does  $T$  look?

Further restrict attention to "natural systems"  
= all constraints are algebraic:  $t$  does not appear explicitly

For  $n=2$  
$$T = \frac{1}{2} a_{11} \dot{q}_1^2 + a_{12} \dot{q}_1 \dot{q}_2 + \frac{1}{2} a_{22} \dot{q}_2^2$$

(Why?)

$$r_i = r_i(q_1, q_2, q_3, \dots, q_n)$$

$$\frac{dr_i}{dt} = \sum_j \frac{\partial r_i}{\partial q_j} \dot{q}_j + \frac{\partial r_i}{\partial t}$$

Then sub into  $T = \sum \frac{1}{2} m \dot{r}_i^2 \dots$

$a_{ij}$  may be functions of  $q$  ... but for small  $q$ , can take them equal to constants (evaluated at equilibrium pt.)

We can always choose "orthogonal" coordinates:  $T = \sum_j a_{jj} \dot{q}_j^2$

Eg.  $a_{12} = 0$  in  $n=2$  case.

Put  $q'_1 = q_1 + \frac{a_{12}}{a_{11}} q_2$

$$\begin{aligned} \Rightarrow T &= \frac{1}{2} a_{11} \left( \dot{q}'_1 - \frac{a_{12}}{a_{11}} \dot{q}_2 \right)^2 + a_{12} \left( \dot{q}'_1 - \frac{a_{12}}{a_{11}} \dot{q}_2 \right) \dot{q}_2 + \frac{1}{2} a_{22} \dot{q}_2^2 \\ &= \frac{1}{2} a_{11} \dot{q}'_1{}^2 - a_{11} \frac{a_{12}}{a_{11}} \dot{q}'_1 \dot{q}_2 + \frac{2}{2} \frac{a_{12}^2}{a_{11}} \dot{q}_2^2 + a_{12} \dot{q}'_1 \dot{q}_2 - \frac{2}{2} \frac{a_{12}^2}{a_{11}} \dot{q}_2^2 + \frac{1}{2} a_{22} \dot{q}_2^2 \end{aligned}$$

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$$T = \frac{1}{2} a_{11} \dot{q}_1'^2 + \frac{1}{2} a_{22}' \dot{q}_2'^2$$

where  $a_{22}' = a_{22} - \frac{a_{12}^2}{a_{11}}$

Can also put

$$q_1'' = \sqrt{a_{11}} q_1', \quad \text{and} \quad q_2'' = \sqrt{a_{22}'} q_2'$$

$$\Rightarrow T = \frac{1}{2} \dot{q}_1''^2 + \frac{1}{2} \dot{q}_2''^2$$

General case (Gram-Schmidt orthogonalization procedure):

$$q_1' = q_1 + \frac{a_{12}}{a_{11}} q_2 + \dots + \frac{a_{1n}}{a_{11}} q_n$$

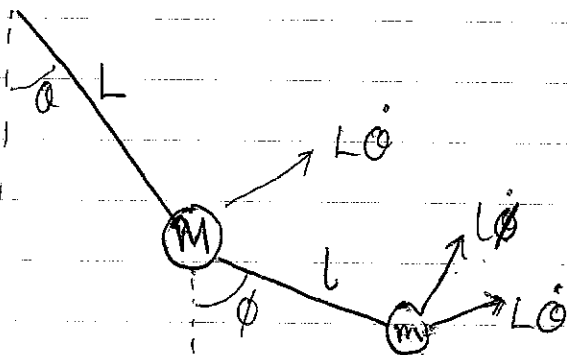
eliminate cross terms involving  $q_1$

etc.

$$\Rightarrow T = \sum_j \frac{1}{2} \dot{q}_j'^2$$

(drop primes)

Example Double pendulum



2 degrees of freedom

Velocity of M =  $L\dot{\theta}$   
 " " m =  $\vec{v}_1 + \vec{v}_2$

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$$v_m = \sqrt{(\vec{v}_1 + \vec{v}_2) \cdot (\vec{v}_1 + \vec{v}_2)} = \sqrt{v_1^2 + v_2^2 + 2\vec{v}_1 \cdot \vec{v}_2}$$

$$= \sqrt{L^2\dot{\theta}^2 + l^2\dot{\phi}^2 + 2Ll\dot{\theta}\dot{\phi}\cos(\phi - \theta)}$$

$$T = \frac{1}{2} M L^2 \dot{\theta}^2 + \frac{1}{2} m [L^2 \dot{\theta}^2 + l^2 \dot{\phi}^2 + 2Ll\dot{\theta}\dot{\phi}\cos(\phi - \theta)]$$

For small angles  $\cos(\phi - \theta) \approx 1$

Nonorthogonal:  $\dot{\theta}\dot{\phi}$  term

Orthogonal coords: displacements of two bobs:

$$x = L\theta$$

$$y = L\theta + l\phi$$

$$\Rightarrow T = \frac{1}{2} M \dot{x}^2 + \frac{1}{2} m \dot{y}^2$$

2nd term in T:

$$\left[ \cancel{L^2} \dot{\theta}^2 + l^2 \dot{\phi}^2 + 2Ll \dot{\theta} \dot{\phi} \cos(\phi - \theta) \right] \rightarrow \approx 1$$

$$\Rightarrow \frac{1}{2} \dot{x}^2 + \frac{1}{2} (\dot{y} - \dot{x})^2 + \cancel{2Ll} \frac{1}{2} \dot{x} (\dot{y} - \dot{x})$$

$$= \frac{1}{2} \dot{x}^2 + \frac{1}{2} \dot{y}^2 + \frac{1}{2} \dot{x}^2 - \dot{x}\dot{y} + \dot{x}\dot{y} - \frac{1}{2} \dot{x}^2$$

$$= \dot{y}^2$$

Finally  $q_1 = \sqrt{M} x$  ,  $q_2 = \sqrt{m} y$ .

Now consider potential energy

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Eqn of motion:

$$\ddot{q}_\alpha = - \frac{\partial V}{\partial q_\alpha}$$

$\underbrace{\quad}_{=0}$  zero at equilibrium.

For  $n=2$ :

$$V = V_0 + (b_1 q_1 + b_2 q_2) + \left( \frac{1}{2} k_{11} q_1^2 + \frac{1}{2} k_{12} q_1 q_2 + \frac{1}{2} k_{22} q_2^2 \right) + \dots$$

Equilibrium:  $b_1 = b_2 = 0$

Set  $V_0 = 0$

without changing  
eqn. of motion.

$$\text{Then } \ddot{q}_1 = -k_{11} q_1 - k_{12} q_2$$

$$\ddot{q}_2 = -k_{21} q_1 - k_{22} q_2$$

(have put  $k_{12} = k_{21}$ )

General case:

$$V = \sum_{\alpha=1}^n \sum_{\beta=1}^n \frac{1}{2} k_{\alpha\beta} q_\alpha q_\beta$$

$\left. \begin{array}{l} \text{counts} \\ \text{1/2 } \alpha \text{ twice} \end{array} \right\} k_{\alpha\beta} = k_{\beta\alpha}$

so

$$\begin{bmatrix} \ddot{q}_1 \\ \ddot{q}_2 \\ \vdots \\ \ddot{q}_n \end{bmatrix} = - \begin{bmatrix} k_{11} & k_{12} & \dots & k_{1n} \\ k_{21} & k_{22} & \dots & k_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ k_{n1} & k_{n2} & \dots & k_{nn} \end{bmatrix} \begin{bmatrix} q_1 \\ q_2 \\ \vdots \\ q_n \end{bmatrix}$$

Example double pendulum.

$$V = (M+m)gL(1-\cos\theta) + mgl(1-\cos\phi)$$

Small angle:  $(1-\cos\theta) \rightarrow \frac{1}{2}\theta^2$

$$\Rightarrow V = \frac{1}{2}(M+m)gL\theta^2 + \frac{1}{2}mgl\phi^2 = \frac{(M+m)g}{2L}x^2 + \frac{mg}{2l}(y-x)^2$$

Eqs of motion

$$\begin{bmatrix} M\ddot{x} \\ m\ddot{y} \end{bmatrix} = \begin{bmatrix} -\frac{(M+m)g}{L} & -\frac{mg}{l} & \frac{mg}{l} \\ \frac{mg}{l} & & -\frac{mg}{l} \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

Need 4 arbitrary constants, e.g.  $q_1, q_2, \dot{q}_1, \dot{q}_2$  at  $t=0$

Normal modes

$$q_\alpha = A_\alpha e^{i\omega t}$$

ie. simple harmonic motion.

All coords are oscillating with same freq.  $\omega$ .

$A_\alpha =$  complex constants.

$2n$  arbitrary constants

Physical solution is the real part

$$\Rightarrow -\omega^2 A_\alpha = -\sum_{\beta=1}^n k_{\alpha\beta} A_\beta$$

e.g.  $n=2$

$$\omega^2 \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} k_{11} & k_{12} \\ k_{21} & k_{22} \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix}$$

eigenvalue equation

$$\Rightarrow \begin{bmatrix} k_{11} - \omega^2 & k_{12} \\ k_{21} & k_{22} - \omega^2 \end{bmatrix} \begin{bmatrix} A_1 \\ A_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

Determinant vanishes for non-zero solutions  $\begin{vmatrix} k_{11} - \omega^2 & k_{12} \\ k_{21} & k_{22} - \omega^2 \end{vmatrix} = (k_{11} - \omega^2)(k_{22} - \omega^2) - k_{12}^2 = 0$   
Characteristic eqn.

e.g. Double pendulum

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$$\begin{bmatrix} \frac{(M+m)g}{ML} + \frac{mg}{Ml} & -\frac{mg}{Ml} \\ -\frac{g}{L} & \frac{g}{l} \end{bmatrix} \begin{bmatrix} A_x \\ A_y \end{bmatrix} = \omega^2 \begin{bmatrix} A_x \\ A_y \end{bmatrix}$$

Characteristic eqn:  $\omega^4 - \frac{M+m}{M} \left( \frac{g}{L} + \frac{g}{l} \right) \omega^2 + \frac{M+m}{M} \frac{g^2}{Ll} = 0$

obtain ratios by substituting  $\omega$  back into eigenvalue equation

Limiting cases

$M \gg m$

$\omega^2 \approx \frac{g}{L}$

$\omega^2 \approx \frac{g}{l}$

upper pendulum is nearly stationary

$\frac{A_x}{A_y} \approx \frac{m}{M} \frac{L}{L-l}$

$\frac{A_x}{A_y} \approx \frac{L-l}{L}$

comparable amplitudes

(assume  $l \neq L$ )

$m \gg M$

$\omega^2 = \frac{g}{L+l}$

swings like a single pendulum of length  $L+l$

$\frac{A_x}{A_y} \approx \frac{L}{L+l}$

$\omega^2 = \frac{m}{M} \left( \frac{g}{L} + \frac{g}{l} \right)$

lower bob almost stationary, upper one executes fast oscillation

$\frac{A_x}{A_y} \approx -\frac{m}{M} \frac{L+l}{L}$

## Superposition principle

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Eqs of motion are linear  $\Rightarrow$  any superposition of solution is also a solution.

General solution is superposition of normal modes.

Note: normal modes are orthogonal.

Example: If  $\omega^2$  and  $\omega'^2$  are roots for  $n=2$  then  $q_{\text{total}}$  is real part of

$$q_1 = A_1 e^{i\omega t} + A_1' e^{i\omega' t}$$

$$q_2 = A_2 e^{i\omega t} + A_2' e^{i\omega' t}$$

Ratios  $\frac{A_1}{A_2}$  and  $\frac{A_1'}{A_2'}$  are fixed by plugging each eigenvalue ( $\omega^2$  and  $\omega'^2$ ) into eigenvalue matrix eqn.

Note: all  $k_{ij}$  are real  $\Rightarrow \frac{A_1}{A_2}$  is real

$\Rightarrow A_1$  and  $A_2$  have same phase or are  $\pi$  out of phase

$\Rightarrow q_1$  and  $q_2$  not only oscillate with same frequency, but also in or directly out of phase.

$A_1$  and  $A_2$  can have a common arbitrary complex factor, which fixes overall amplitude and phase of normal mode (fit to boundary conditions)  $\Rightarrow$  2 arbitrary real constants for each mode.

$\Rightarrow$  4 constants to set in above solution.

same for  $n=3$

$$\begin{vmatrix} k_{11} - \omega^2 & k_{12} & k_{13} \\ k_{21} & k_{22} - \omega^2 & k_{23} \\ k_{31} & k_{32} & k_{33} - \omega^2 \end{vmatrix} = 0$$

- all 3 roots are real
- All ratios of amplitudes are real (so all coords oscillate exactly in or exactly out of phase)
- Each normal mode has just two arbitrary real constants

Stability  $\begin{vmatrix} k_{11} - \omega^2 & k_{12} \\ k_{12} & k_{22} - \omega^2 \end{vmatrix} = 0$

$n=2$  case, discriminant:  $(k_{11} - k_{22})^2 + 4k_{12}^2 > 0$   
 i.e.  $\sqrt{b^2 - 4ac}$

$\Rightarrow$  two real roots  $\omega^2$  and  $\omega'^2$

Condition for stability: both roots  $\vee$  +ve

If ~~any~~ one root is -ve:  $\omega^2 = -\gamma^2$ , say.

$\Rightarrow q_\alpha = A_\alpha e^{\gamma t} + B_\alpha e^{-\gamma t} \Rightarrow$  exponential increase with time.